
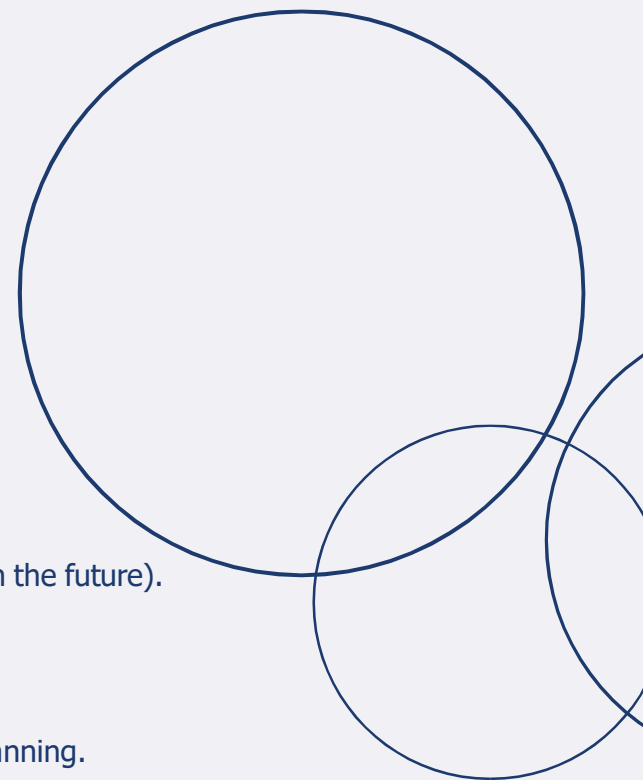


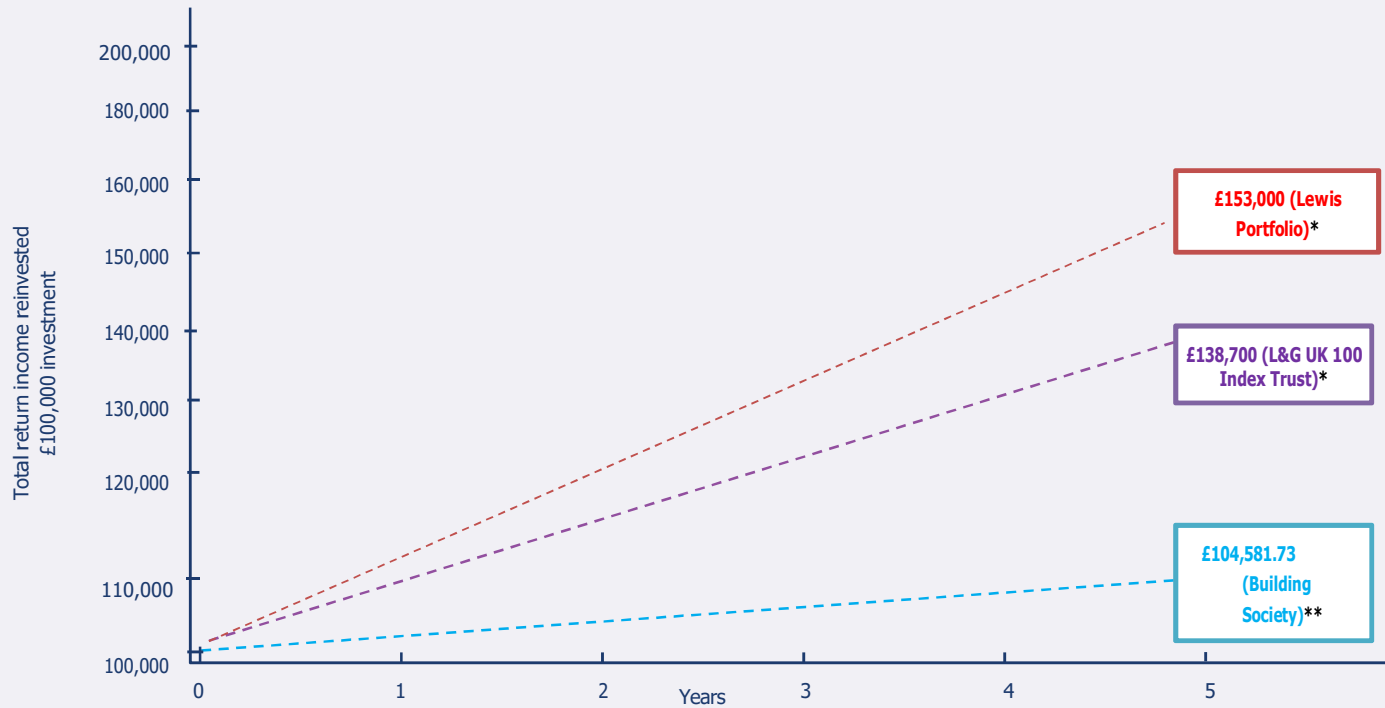
## Why Lewis Investment?

- 1 **We have a clear common sense approach to investment.**
- 2 **We look at an investors specific goals and ambitions.**
- 3 **We provide a personal report with our professional recommendations including:**
  -  Asset allocation (how much of your money should be put in what class of investment).
  -  Product allocation (how much of your money should be put in what investment vehicle).
  -  Tax efficiency (ensuring that you make the most of your tax saving opportunities).
  -  Future financial benefit strategy (making the most of your income or capital withdrawals in the future).
- 4 **What is important:**
  1. We are constantly reviewing the investments that we recommend.
  2. Our annual or more frequent meeting ensures a structure to your financial and investment planning.
  3. Portfolio performance (IFA Regulation sheet 2) shows our value when compared with passive investing.
  4. Our qualified adviser knowledge provides you with a safe pair of hands.



## OUR INVESTMENT PERFORMANCE

### Typical Moderate Portfolio Recommended by Lewis' (over 5 years to 22<sup>nd</sup> January 2020)



#### Composition of Lewis Portfolio

Artemis Global Income	20%
Artemis Income	20%
Kames Diversified Monthly Inc	15%
L&G US Index Trust	15%
L&G UK 100 Index Trust	15%
Stewart Investors Asia Pacific Leaders	15%

#### Lewis Performance Against the FTSE 100 tracker

	1 year	3 years	5 years
FTSE 100 Tracker	12.7%	18.6%	38.7%
Lewis Recommended Funds	14.7%	24.6%	53.0%
Variance	+2.0%	+6.0%	+14.3%

This graph contains a simplified simulation of actual cumulative past performance which is not a reliable indicator of future performance.

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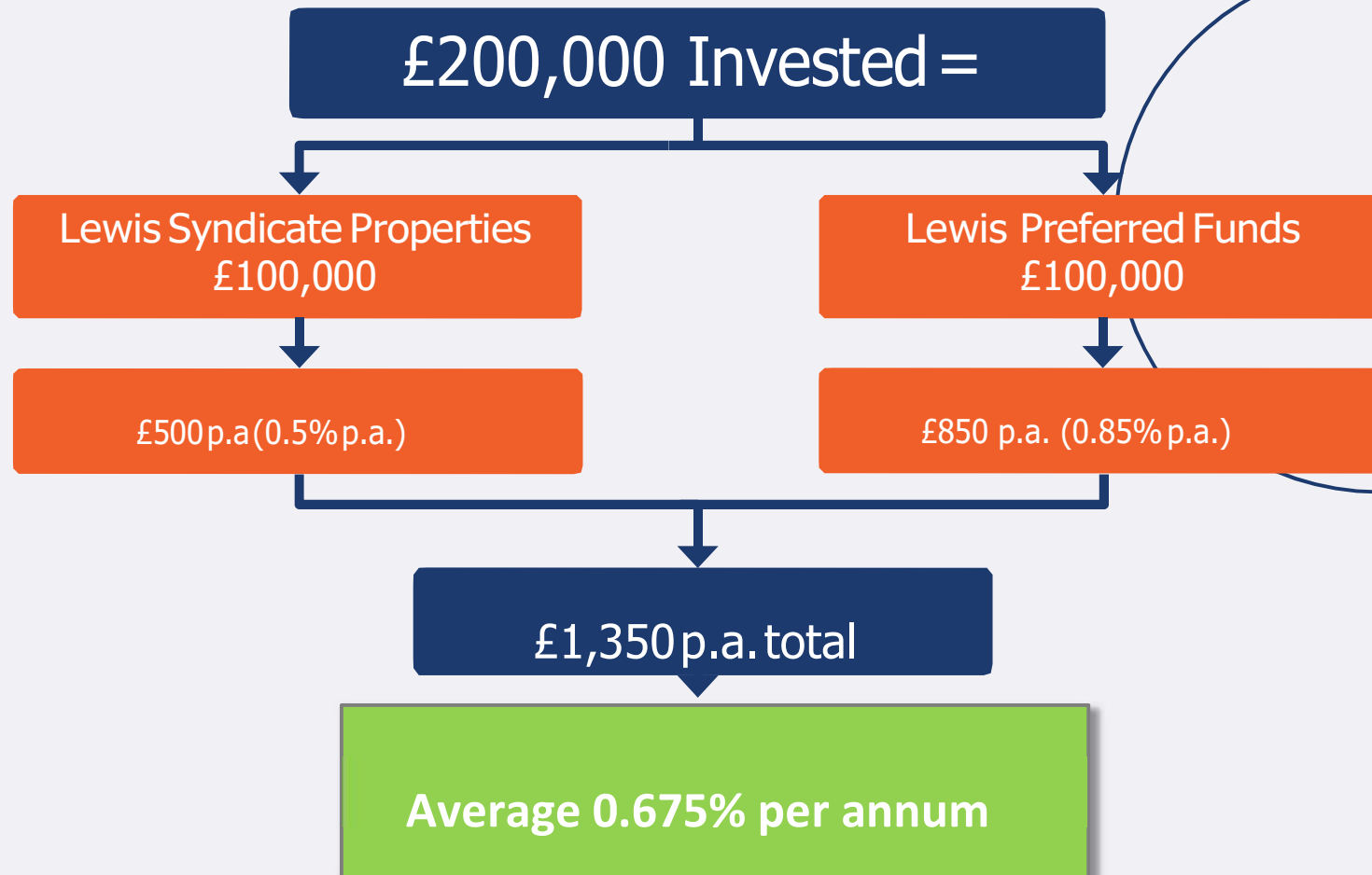
\* Source – FE Trustnet January 2020  
\*\* Based on compound interest of 0.9%

**Past performance is no guarantee of future performance. The value of your investments can go up or down so you may get back less than your initial investment.**

# Lewis Investment Fees and Services Summary (Excluding Property Syndicates)

Investment Category	Initial Fee	Initial Service	Investment Category	Ongoing Fee	Ongoing Service
Up to £50,000	2.5% e.g. If you invest £40,000 our fee will be £1,000	<ul style="list-style-type: none"> <li>• Full advice and research service</li> <li>• Personal information collation and analysis</li> <li>• Lifecycle planning and advice</li> <li>• Full written report and recommendations</li> <li>• Application documentation</li> <li>• Processing investments</li> <li>• Online client portal facility</li> </ul>	Up to £50,000	1% p.a. e.g. If your portfolio is valued at £40,000 our fee will be £400 per annum	<ul style="list-style-type: none"> <li>• Lifecycle planning and advice</li> <li>• Ongoing administration and intermediation</li> <li>• Online client portal facility</li> <li>• Annual valuation and adviser consultation</li> <li>• Constant investment fund monitoring</li> </ul>
£50,000 to £250,000	2% e.g. If you invest £100,000 our fee will be £2,000		£50,000 to £2 million	0.85% e.g. If your portfolio was valued at £100,000 our fee will be £850 per annum	
£250,001 to £650,000	1.5% e.g. If you invest £300,000 our fee will be £4,500		£2 to £4 million	0.65% e.g. If your portfolio was valued at £3m our fee will be £19,500 per annum	
£650,001 to £1.25 million	1% e.g. If you invest £1m our fee will be £10,000		In excess of £4 million	0.45% e.g. If your portfolio was valued at £6m our fee will be £27,000 per annum	
£1.25 to £2 million	0.85% e.g. If you invest £1.5m our fee will be £12,750				
£2 to £4 million	0.65% e.g. If you invest £3m our fee will be £19,500				
In excess of £4 million	0.45% e.g. If you invest £5m our fee will be £22,500				
Transactional costs	1% e.g. If a transfer is £10,000 our fee will be £100 0.5% e.g. If a switch is £15,000 our fee will be £75	<ul style="list-style-type: none"> <li>• Unit Trust to ISA transfer</li> <li>• Fund switches</li> </ul>	Self service access (only available through Cofunds Platform)	0.35% e.g. If your portfolio was valued at £20,000 our fee will be £70 per annum	<ul style="list-style-type: none"> <li>• Access to the self service 'execution only' facility via the online client portal</li> <li>• Lewis onlinenewsletters</li> <li>• Ongoing 'postbox' administration</li> </ul>
Self service access (only available through Cofunds Platform)	0.0%	<ul style="list-style-type: none"> <li>• Access to the self service 'execution only' facility via the online client portal</li> </ul>			

## Ongoing Fees for a typical Lewis' proposition investment.



## Why Lewis Investment? – some of our clients



**These companies trust us!**

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